Carbon isotope composition of middle Eocene leaves from the Messel Pit, Germany

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Abstract

The ¹³C/¹²C ratios (δ^{13} C) of leaves from the middle Eocene of the Messel Pit (Middle Messel Formation) were measured to determine the ratios of leaf-internal to ambient carbon dioxide concentration (c_i/c_a) for the respective time. For extant plants this parameter provides information about their ecophysiological state. Fossil leaves belonging to three species were analyzed: *Laurophyllum lanigeroides* (Lauraceae), *Daphnogene crebrigranosa* (Lauraceae) and *Rhodomyrtophyllum sinuatum* (Myrtaceae). In order to determine the range of δ^{13} C across a single leaf (intra-leaf variability) samples from the basal, central and apical region were separately prepared and analyzed. The results are compared to δ^{13} C and c_i/c_a ratios in extant evergreen Lauraceae (*Laurus nobilis, Cinnamomum camphora, Persea americana*) and Myrtaceae (*Myrtus communis, Psidium littorale/cattleianum*).

The δ^{13} C of the fossil cuticles varies from -30 % to -27 % in the Lauraceae and from -29 % to -26 % in the Myrtaceae, which are typical ranges for extant C₃-plants. Results of intra-leaf analyses indicate that δ^{13} C varies slightly across the leaves but intra-leaf variability is statistically not significant. Mean Eocene c₁/c_a-ratios as calculated from the measured δ^{13} C values varied from 0.78 to 0.87. The c₁/c_a-ratios of extant Lauraceae are substantially lower (average 0.69) than for the fossil material. In Myrtaceae, c₁/c_a-ratios are almost the same for extant and fossil material (extant Myrtaceae average at about 0.8).

K e y w o r d s : middle Eocene, Messel, leaves, carbon isotopes, c_i/c_s -ratio.

Zusammenfassung

Das ¹³C/¹²C-Verhältnis (δ^{13} C) von fossilen Blättern aus dem Mitteleozän der Grube Messel (Mittlere Messel Formation) wurde gemessen und daraus das Verhältnis von blattinternem zu atmosphärischem Kohlendioxid (c₁/c_a) für die betreffende Zeit bestimmt. Bei heutigen Pflanzen erlaubt diese Größe eine Einschätzung des ökophysiologischen Zustands. Es wurden die Blätter von drei fossilen Arten analysiert: *Laurophyllum lanigeroides* (Lauraceae), *Daphnogene crebrigranosa* (Lauraceae) und *Rhodomyrtophyllum sinuatum* (Myrtaceae). Um Schwankungen des δ^{13} C innerhalb eines Blattes (blattinterne Variabilität) bestimmen zu können, wurden getrennte Proben aus dem basalen, dem mittleren und dem apikalen Bereich genommen und analysiert. Die Ergebnisse wurden mit dem δ^{13} C und c₁/c_a rezenter immergrüner Lauraceae (*Laurus nobilis, Cinnamomum camphora, Persea americana*) und Myrtaceae (*Myrtus communis, Psidium littorale/cattleianum*) verglichen.

Das δ^{13} C der fossilen Kutikulen variiert von -30% bis -27% innerhalb der Lauraceae und von -29% bis -26% innerhalb der Myrtaceae, was im Bereich moderner C₃-Pflanzen liegt. Die Ergebnisse der blattinternen Analysen zeigen, dass der δ^{13} C-Wert innerhalb eines Blattes variiert, diese blattinterne Variabilität statistisch jedoch nicht signifikant ist. Das c/c_a-Verhältnis für das Mitteleozän, das mit den gemessenen δ^{13} C-Werten berechnet wurde, schwankt zwischen durchschnittlich 0,78 und 0,87. Die c/c_a-Verhältnisse für rezente Lauraceae sind deutlich geringer (durchschnittlich 0,69) als die für die beiden fossilen Arten errechneten Werte. Bei den Myrtaceae unterscheiden sich die mitteleozänen und rezenten c_i/c_a-Verhältnisse kaum, rezente Myrtaceae liegen hier durchschnittlich bei etwa 0,8.

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1. Introduction

Although "greenhouse conditions" prevailed during the Eocene (e. g. ZACHOS et al. 1994; GREENWOOD & WING 1995; WILF et al. 1998; GINGERICH 2006; JAHREN 2007; HOLLIS et al. 2009) substantial faunal and floral changes occurred which were caused by the almost continuous global cooling that followed the Early Eocene Climatic Optimum (e. g. ZACHOS et al. 2001; FRANCIS & POOLE 2002; DUPONT-NIVET et al. 2007; various contributions in PROTHERO et al. 2003). Most information about Eocene climate dynamics is still derived from the marine realm (e. g. ZACHOS et al. 2001). In order to understand the entire Eocene climate system and its changes, terrestrial data are equally important. Fossil leaves represent an easily accessible and plentiful terrestrial archive that allows an insight into the climate history of the Earth.

Many studies dealing with the response of plants to changing climates and varying CO₂ concentrations include measurements of the carbon isotopic composition $({}^{13}C/{}^{12}C)$ of leaf tissues (e.g. LLOYD & FARQUHAR 1994; KÜRSCHNER 1996; LOCKHEART et al. 1998; HEATON 1999; NGUYEN TU et al. 2004; Schweizer et al. 2007; Aucour et al. 2008). The carbon isotope discrimination of C₂-plants in favor of the light ¹²C isotopes shifts the carbon isotope ratio towards more negative values between approximately -36 ‰ to -22 ‰. The carbon isotope discrimination, however, differs among species and among individuals in a population. Moreover, it is related to the c_i/c_i-ratio (ratio between intra-leaf and atmospheric CO_2) which is in turn influenced by a number of environmental parameters such as leaf temperature, humidity and irradiation (e.g. FARQUHAR et al. 1982a, 1982b, 1989; EVANS et al. 1986; LEAVITT & NEWBERRY 1992; EHLERINGER & CERLING 1995). Particularly, the c_i/c_a-ratio indicates the degree of stomatal conductance (= gas permeability of the epidermis). In the present study, we analyzed the carbon isotope composition of fossil leaf material from the middle Eocene Messel Formation. Since a high stomatal conductance usually requires adequate availability of water, the carbon isotope signal of leaves provides information on the ecophysiological state of the plants and therefore on environmental conditions which prevailed at the Messel Lake.

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2. Material and Methods

2.1. Fossil and extant leaf material

For the present study, fossil leaf material from the early middle Eocene of the Messel Pit (Middle Messel Formation) near Darmstadt (Hesse, Germany), a UNESCO World Nature Heritage Site, has been analyzed. In the Messel Pit the typical laminated oil shale-filling of an Eocene maar lake (FELDER & HARMS 2004) was commercially mined for more than hundred years. The oil shale became famous for numerous fossils showing an excellent preservation (e. g. GRUBER & MICKLICH 2007; COLLINSON et al. 2010). The eruption giving rise to the maar lake at Messel has been dated at about 47.8 Ma (MERTZ & RENNE 2005).

 δ^{13} C measurements were carried out for six leaves of *Laurophyllum lanigeroides* (Lauraceae), four leaves of *Daphnogene crebrigranosa* (Lauraceae) and seven leaves of *Rhodomyrtophyllum sinuatum* (Myrtaceae). For detailed descriptions and discussions of the species compare WILDE (1989). All investigated fossil leaves are stored in the pale-obotanical collection of the Senckenberg Forschungsinstitut und Naturmuseum (Frankfurt am Main, Germany).

Furthermore, recent leaves from potted plants of the same families as the fossil specimens were analyzed: *Laurus nobilis* (Lauraceae, five leaves), *Cinnamomum camphora* (Lauraceae, three leaves), *Persea americana* (Lauraceae, two leaves), *Myrtus communis* (Myrtaceae, five leaves) and *Psidium littorale/cattleianum* (Myrtaceae, three leaves). The recent plant material was collected in the Botanical Garden of Tübingen (Baden-Württemberg, Germany).

2.2. Preparation of leaf material

Here, we used remnant tissues of completely to almost completely preserved fossil leaves. Whenever possible, three samples per specimen were analyzed in order to illustrate intra-leaf variability of δ^{13} C except for *Daphno*gene crebrigranosa where only one sample per leaf was available. Measurements were carried out on four leaves of Daphnogene crebrigranosa (four measurements), six leaves of Laurophyllum lanigeroides (20 measurements) and seven leaves of Rhodomyrtophyllum sinuatum (16 measurements). Samples from the basal, central and apical (or tip) region were cut out of the leaf blade and thoroughly rinsed with water (Fig. 1). In order to remove the adhering sediment all fossil material was treated with hydrofluoric acid (HF) and subsequently rinsed thoroughly with water. To avoid accidental measurements on oil shale fragments, samples were afterwards macerated in SCHULZE's Solution, a mixture of crystalline potassium chlorate (KClO₂) and nitric acid (HNO₂), until their colour turned from black to light brown or yellow. After maceration samples were neutralized in ammonia solution (NH₂+H₂O) followed by washing in water again. This is the standard preparation



Fig. 1. Leaf of *Laurophyllum lanigeroides* showing the sampling sites for isotope analysis. The locations tip (T), center (C) and base (B) are marked (photo: M. MUELLER. SFN – Frankfurt/Main). – Length of scale: 10 cm.

procedure for fossil cuticles applied at the palaeobotanical laboratory of the Senckenberg Forschungsinstitut und Naturmuseum (Frankfurt/Main). In this study, maceration with SCHULZE's Solution allowed for a precise separation of cuticle fragments from oil shale fragments under a binocular.

Extant plant material was just rinsed with water without any further chemical preparation. For isotope measurements, samples of extant and fossil material were finally dried at room-temperature for at least seven days followed by drying in an oven for at least three days at about 30–60 °C. Additionally, a methodical test was carried out on extant bulk leaf material of Lauraceae and Myrtaceae to determine whether the δ^{13} C signal is affected by the chemical treatment.

2.3. Carbon isotope composition and c_1/c_2 -ratio

The C-isotopic composition (δ^{13} C) was measured at the Department for Geochemistry, Institute for Geosciences, University of Tübingen (Germany) with a Carlo Erba NC 2500 Elemental Analyzer connected to a Thermo Finnigan Delta Plus XL mass spectrometer. Samples were normalized to the international graphite standard USGS 24 with a certified δ^{13} C value of -16 % VPDB. The reproducibility of δ^{13} C values was $\pm 0.1 \%$.

The ratio of leaf-internal to atmospheric carbon dioxide concentration (c_i/c_a) was calculated according to the equation of FARQUHAR et al. (1989) which is suitable for C_3 plants. The carbon isotope discrimination (Δ) is calculated as

(1)
$$\Delta = \frac{\delta^{13}C_{atm} - \delta^{13}C_{plant}}{1 + \delta^{13}C_{plant}}$$

with

(2)
$$\Delta = a + (b-a) \times \frac{c_i}{c_a}$$

 $δ^{13}C_{atm}$ is the carbon isotope composition of the atmosphere, $\delta^{13}C_{plant}$ is the carbon isotope composition of the plant tissue, a is the fractionation caused by diffusion of CO₂ through stomata (a = 4.4 ‰) and b is the fractionation due to carboxylation by Rubisco (b = 27.0 ‰). Middle Eocene atmospheric $\delta^{13}C_{atm}$ was derived from the carbon isotope composition of marine carbonates $\delta^{13}C_{caCO3}$ which is approximately +0.8 ‰ at 47 Ma (mean curve) provided by ZACHOS et al. (2001).

Based on the assumption that the $\delta^{13}C_{atmCO2}$ is regulated on a long-term basis by equilibrium with $\delta^{13}C_{caCO3}$ of marine carbonates with $\delta^{13}C_{cO2}$ values being about 7 ‰ more negative (MORA et al. 1996; BEERLING et al. 1998; BEER-LING 2000; BEERLING & ROYER 2002) this results in $\delta^{13}C_{atm}$ = -6.2 ‰ for the middle Eocene atmosphere. Modern atmospheric carbon isotope composition is about $\delta^{13}C_{atm}$ = -8.2 ‰ (e. g. NGUYEN TU et al. 2004; KEELING et al. 2010). These differences in Eocene and modern $\delta^{13}C_{atm}$ directly influence the calculated carbon isotope discrimination and, thus, the c./c_-ratio derived from $\delta^{13}C$ (see also Tab. 1).

Statistical analysis was performed by using SPSS 16.01.

3. Results

Measurements of δ^{13} C on the fossil leaf material indicate some differences in the carbon isotope composition of the middle Eocene Lauraceae (n = 10) and Myrtaceae



Fig. 2. Carbon isotope composition (δ^{13} C) of fossil and extant leaves. Lauraceae: DC: *Daphnogene crebrigranosa*; LL: *Laurophyllum lanigeroides*; CC: *Cinnamomum camphora*; PA: *Persea americana*; LN: *Laurus nobilis*. Myrtaceae: RS: *Rhodomyrtophyllum sinuatum*; MC: *Myrtus communis*; PL: *Psidium littorale*. With the exception of DC, the data represent the pooled results from all three leaf positions. The results are presented as box–whisker plots. The vertical lines connect the highest and lowest value, and the boxes span the 50 % interquartile. The median is indicated by the horizontal line within the boxes. Single outliers are drawn as circles.

Tab. 1. Carbon isotope composition (δ^{13} C) and derived c_i/c_a -ratios for extant and middle Eocene Lauraceae (L) and Myrtaceae (M). Number of leaves (n), calculated carbon isotope discrimination (Δ) and δ^{13} C-derived c_i/c_a -ratio presented as average values with standard deviation. An excel-sheet containing all single leaf δ^{13} C measurements of both fossil and extant leaves can be obtained from the authors on request.

species (family)	n	age	δ ¹³ C ‰	Δ ‰	c _i /c _a
Laurus nobilis (L)	5	extant	-26.4 ± 0.9	18.7 ± 1.0	0.63 ± 0.04
Cinnamomum camphora (L)	3	extant	-28.6 ± 0.3	21.0 ± 0.3	0.73 ± 0.02
Persea americana (L)	2	extant	-27.9 ± 0.3	20.3 ± 0.3	0.70 ± 0.01
Laurophyllum lanigeroides (L)	6	Eocene	-28.6 ± 0.8	23.1 ± 0.8	0.83 ± 0.04
Daphnogene crebrigranosa (L)	4	Eocene	-29.5 ± 0.7	24.0 ± 0.7	0.87 ± 0.03
Myrtus communis (M)	5	extant	-30.3 ± 0.7	22.8 ± 0.7	0.81 ± 0.03
Psidium littorale/cattleianum (M)	3	extant	-29.6 ± 0.4	22.1 ± 0.4	0.78 ± 0.02
Rhodomyrtophyllum sinuatum (M)	7	Eocene	-27.6 ± 0.9	22.0 ± 1.0	0.78 ± 0.04
Messel (combined results)	17	Eocene	-28.4 ± 1.1	22.9 ± 1.2	0.82 ± 0.05

(n = 7) from Messel. The δ^{13} C varied from about -30‰ to -27‰ (24 single measurements) in the two species of Lauraceae and from -29‰ to -26‰ in the single species of Myrtaceae (16 single measurements) (Fig. 2). Mean c₁/c_a-ratios derived from δ^{13} C measurements of the fossils varied from 0.78 in *Rhodomyrtophyllum sinuatum* to 0.87 in *Daphnogene crebrigranosa* (Tab. 1); values for *Laurophyllum lanigeroides* are intermediate with c₁/c_a= 0.83.



Fig. 3. Carbon isotope composition (δ^{13} C) of fossil leaf material of *Laurophyllum lanigeroides* (LL) and *Rhodomyrtophyllum sinuatum* (RS) from the three different positions on the leaf (n = number of measurements). The results are represented as box-whisker plots. The vertical lines connect the highest and lowest value, and the boxes span the 50% interquartile. The median is indicated by the horizontal line within the boxes.

The mean c_i/c_a -ratios for extant Lauraceae are clearly lower (between 0.63 and 0.73) than the ratios for the fossil species (0.83 to 0.87). For fossil and extant Myrtaceae, c_i/c_a -ratios hardly differ with values ranging between 0.78 and 0.81. The average value for all of the analyzed fossil leaves from the middle Eocene of Messel is $\delta^{13}C = -28.4 \pm 1.1 \%$ (n = 17) corresponding to an average c_i/c_a -ratio of 0.82 ± 0.05.

Results of intra-leaf analyses (Fig. 3) indicate a slight variation in δ^{13} C within the three sample regions between 2.2‰ (mean) and 2.5‰ (maximum) for *L. lanigeroides* and between 2.6‰ (mean) and 3.0‰ (maximum) for *R. sinuatum*. However, application of one-way ANOVA (<u>ANalysis Of VA</u>riance) shows that the variation is statistically not significant. Intra-leaf differences show a Significance Level of 90.8% (F-value = 0.97) for *L. lanigeroides* and 60.7% (F-value = 0.53) for *R. sinuatum*.

As to the extant families, ranges for intra-leaf variability within apex, center and base vary between 1.2% (mean) and 2.9% (maximum) within the Lauraceae and between 1.4% (mean) and 3.2% (maximum) within the Myrtaceae.

Interleaf differences are significant for both *L. lanigeroides* (Significance Level < 0.1%, F-value = 27.16) and *R. sinuatum* (Significance Level = 0.1%, F-value = 15.39). Interspecific differences between *L. lanigeroides* and *R. sinuatum* are also significant (Significance Level = 0.06%).

4. Discussion

 δ^{13} C values of modern C₃ plants range typically from -36 to -22 ‰ (e. g. FARQUHAR et al. 1989; O'LEARY 1995; CERLING et al. 2004; KINGSTON & HARRISON 2007; and citations therein) with local and temporal (diurnal/seasonal)

tats. For example, differences exist between Australian

variations, depending on the species. During the past centuries, the δ^{13} C values of modern plants were influenced by changes in the carbon isotope composition of the atmospheric CO₂ due to human activity. The concentration of atmospheric CO₂, however, appears to have only minor influence on δ^{13} C (NGUYEN TU et al. 2004). The δ^{13} C values of the Messel leaves fit into the range typical for modern C₂species. Our average value for $\delta^{13}C = -28.4 \pm 1.1$ % (n = 17) is somewhat more negative than the values of SCHWEIZ-ER et al. (2007), who analyzed the carbon isotopic composition of a larger number (n = 63) of unspecified "terrestrial leaves" from the Messel Pit. The δ^{13} C values of their "terrestrial leaves" ranged between approximately -30 ‰ and -25 ‰ with an average of -26.8 ‰ ± 0.8 ‰.

Following Schweizer et al. (2007) this could have been caused by the fact that fossil leaves are particularly sensitive to contamination and diagenetic alteration because of their large surface area-to-volume ratio. However, the results of Schweizer et al. (2007) indicate that the Messel oil shale is depleted in ^{13}C ($\delta^{13}C_{shale}$ = –28.54 \pm 2.7 ‰) relative to "terrestrial leaves" and leaves of water lilies. The compositional differences between the fossil leaves and the oil shale matrix in their study therefore obviously confirms that isotopic exchange between the fossils and their matrix was not extensive enough to produce distinct isotopic alteration (Schweizer et al. 2007). The fact that our δ^{13} C values for the fossil leaves are close to the oil shale values provided by Schweizer et al. (2007) therefore appears to be either accidental or it could be interpreted in such a way that diagenetic changes, if experienced by the fossil leaf material, were likely very small and possibly neutralized by the pretreatment. It is also possible that the differences in the mean values for leaves in both studies may have been caused by the fact that our study is based on material from three distinct species whereas SCHWEIZER et al. (2007) used a larger random sample of unspecified "terrestrial leaves". The interspecific differences found in the present study corroborate the assumption that the fossil material carries the original ¹³C signal.

The c₁/c₂-ratio and, thus, carbon isotope discrimination for modern plants depends on stomatal conductance and the photosynthetic rate which are in turn influenced by several environmental factors such as light, water supply, nutrients, atmospheric CO₂ concentration and temperature (e.g. FARQUHAR et al. 1989; TIESZEN 1991; EHLERINGER & CERLING 1995; O'LEARY 1995). The c_i/c_a-ratio is frequently maintained at a constant (or almost constant) level typically around 0.7 (e.g. EHLERINGER & CERLING 1995; LARCHER 2003). A high c₁/c₂-ratio (>0.7) indicates nonconservative water use, that is, the absence of strict water saving strategies resulting in a trend for high stomatal conductance, and a high assimilation rate (e.g. LLOYD & FARQUHAR 1994; FRANKS & FARQUHAR 1999). The c₁/c₂ratio varies substantially within various terrestrial habievergreen trees, Mediterranean evergreen sclerophyllous shrubs, xerophytic trees, dry tropical trees and rain forest trees (e.g. BEYSCHLAG et al. 1987; LLOYD et al. 1992; SHER-IFF 1992; LLOYD & FARQUHAR 1994; ISHIDA et al. 1996). In several tropical rainforest species, the c₁/c₂-ratios estimated from carbon isotope discrimination are relatively high indicating a relatively small stomatal limitation to assimilation rate and non-conservative water use (e.g. LLOYD & FARQUHAR 1994; ISHIDA et al. 1996; GONZALEZ-RODRIGUEZ et al. 2001).

The c/c -ratio also varies among plants living within the same habitat. EHLERINGER et al. (1987) studied 128 plant species (C₃, C₄ and CAM) from a subtropical monsoon forest in southern China. Among Myrtaceae δ^{13} C values ranged between about -30 to -27 ‰ (two trees and two shrubs from open and intermediate habitats) and among Lauraceae δ^{13} C values ranged from approximately -35 to -29% (five trees and one shrub from intermediate and closed habitats). The study shows that among C₂ species, δ^{13} C tended to become more negative with decreasing light availability from open to closed habitats. EHLERINGER et al. (1986, 1987) also demonstrated that in some species the c₁/c₂-ratio changed because of decreasing intercellular CO₂ concentration with increasing light.

The relatively high average values for the c_1/c_2 -ratio of the three taxa from Messel, amounting to a mean value of about 0.82, indicates a tropical climate with high water availability. Among the fossil species, the Lauraceae were obviously more lavish regarding to efficiency in water use than the Myrtaceae. If the c_1/c_2 -ratios of the fossil Lauraceae are compared to the c_1/c_2 -ratios which were determined for the plants growing at the Botanical Garden in Tübingen University, then the fossil values are much higher. However, high c₁/c₂-ratios were also recorded for Lauraceae species growing in their natural environment, such as Laurus azorica (Lauraceae) with a c₁/c₂-ratio varying from 0.67 to 0.95 (GONZALEZ-RODRIGUEZ et al. 2001) and two subtropical Lauraceae, Cryptocarya chinensis and Lindera chunii, varying between approximately 0.8 and 0.9 (DE LILLIS & SUN 1990). It may therefore be supposed that the low values of the material from Botanical Garden are due to the potted condition of the plants. However, the two Myrtaceae species from the Botanical Garden which are also potted plants showed quite high c₁/c₂-ratios. Additionally, all individuals were well-watered.

On the basis of the present data set, it is therefore difficult to determine systematic differences in c_i/c_2 -ratios between fossil and extant Lauraceae and Myrtaceae. The fact, however, that all fossil material from Messel shows high c_i/c_a-ratios which are typical of tropical and subtropical plants growing at sites with high humidity indicates that the climate was warm and humid. The δ^{13} C data obtained within this study therefore corroborate results of other studies in which warm and humid conditions were suggested for the early middle Eocene at Messel (SCHAARSCHMIDT 1988; WILDE 1989 and citations therein; WILDE 2005; WILDE & MICKLICH 2007; GREIN et al. in press).

5. Conclusions

 δ^{13} C values of three species of fossil dicotyledonous angiosperm leaves from the early middle Eocene oil shale of Lake Messel range between -30 to -26 ‰ which fits into the range typical for modern C₃ plants. Intra-leaf analyses indicate slight δ^{13} C variations across individual leaves which are, however, statistically not significant and much lower than the variability within the leaves of a distinct species.

High c_i/c_a -ratios of 0.78 to 0.87 have been inferred from $\delta^{13}C$ values of fossil Myrtaceae and Lauraceae leaves of the middle Eocene oil shale of the Messel Pit (Germany). They indicate a relatively small stomatal limitation to assimilation rate and non-conservative water use of these plants. The $\delta^{13}C$ data are therefore in accordance with the warm and humid climate that has previously been suggested for the early middle Eocene at Messel.

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